



Docket No. CITI0212

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Patent Application of

Joseph C. KAWAN, et al.

Group Art Unit: 2131

Serial No.: 09/769,844

Examiner: To Be Assigned

Filed: January 25, 2001

For: SYSTEM AND METHOD FOR USER AUTHENTICATION

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**INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.97 AND 1.98**

Commissioner of Patents
Washington, D.C. 20231

Sir:

In accordance with the requirements of 37 C.F.R. §§ 1.56, 1.97-1.98 and MPEP § 609, Applicants, through its attorneys, hereby bring to the attention of the Examiner the references noted on the attached Form PTO-1449.

Since these references are being submitted before the First Office Action, no fees are believed to be due. The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. 1.16 or 1.17 which may be required during the entire pendency of this application, or to credit any overpayment, to Deposit Account No. 501458. A duplicate copy of this letter is submitted herewith for that purpose.

The above information is presented so that the United States Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention.

See 37 C.F.R. §§ 1.104(a) and 1.106(b) conferring the PTO duty to consider and use any such information. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

Respectfully submitted,

Joseph C. KAVAN, et al.

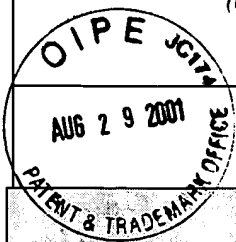
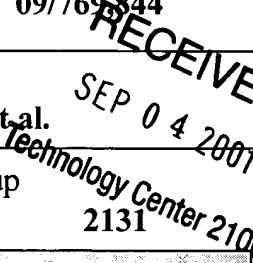
Date: 8/28/01

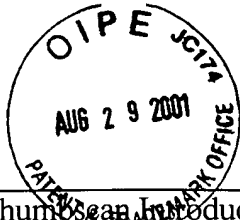
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5Form PTO-1449 (Rev. 2-32)		U.S. Department of Commerce Patent & Trademark Office		Atty. Docket No. CITI0212		Serial No. 09/769,844	
INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)				Applicant Joseph C. KAWAN, et al.			
				Filing Date January 25, 2001		Group 2131	
							
U.S. PATENT DOCUMENTS							
Examiner Initial		Document Number	Date	Name	Class	Sub- Class	Filing Date (if appropriate)
		6,072,891	6/6/2000	Hamid, et al.	382	116	7/24/97
		6,038,334	3/14/2000	Hamid	382	124	2/21/97
		6,018,739	1/25/2000	McCoy, et al.	707	102	5/15/97
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		5,933,515	8/3/99	Pu, et al.	382	124	7/25/96
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FOREIGN PATENT DOCUMENTS							
		JP 10-154231	6/9/98	Hitachi Ltd.; Hitachi Eng. Co. Ltd.	G 06 T	7/00	
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
		"Security Dynamic's One-Two Punch," <i>Marketing Computers</i> , p. 26, December, 1990					



		"ThumbScan Introduces Access Management System for Three Levels of PC Security," News Release, p. 1, January 16, 1989
		International Search Report for Application No. PCT/US01/02488, dated July 9, 2001 (mailing date)
EXAMINER		DATE CONSIDERED
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication.		

Form PTO-FB A820
(also form PTO-1449)

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